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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/914,635	02/25/2002	Heinrich Schenk	12816-040001	9562

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EXAMINER

GOSHTASBI, JAMSHID

ART UNIT PAPER NUMBER

2637

DATE MAILED: 11/30/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<p align="center"><b>Office Action Summary</b></p>	<p><b>Application No.</b></p> <p>09/914,635</p>	<p><b>Applicant(s)</b></p> <p>SCHENK ET AL.</p>	
	<p><b>Examiner</b></p> <p>Jamshid Goshtasbi-G.</p>	<p><b>Art Unit</b></p> <p>2637</p>	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 25 February 2002.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 25 February 2002 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>04/29/2002</u> . | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. Claims 1-4 are pending in the application.

#### ***Drawings***

2. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(4) because reference character "15" has been used to designate both the serial/parallel converter and a multiplier in Fig. 2. Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

3. The drawings are objected to because legends should be used to indicate the significance and meaning of dashed lines used in Fig 1. Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should

include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

4. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the the multiplicity of subtractor circuits as recited in Claim 4 must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure

is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

### ***Specification***

5. The following guidelines illustrate the preferred layout for the specification of a utility application. These guidelines are suggested for the applicant's use.

### ***Arrangement of the Specification***

As provided in 37 CFR 1.77(b), the specification of a utility application should include the following sections in order. Each of the lettered items should appear in upper case, without underlining or bold type, as a section heading. If no text follows the section heading, the phrase "Not Applicable" should follow the section heading:

- (a) TITLE OF THE INVENTION.
- (b) CROSS-REFERENCE TO RELATED APPLICATIONS.
- (c) STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT.
- (d) INCORPORATION-BY-REFERENCE OF MATERIAL SUBMITTED ON A COMPACT DISC (See 37 CFR 1.52(e)(5) and MPEP 608.05. Computer program listings (37 CFR 1.96(c)), "Sequence Listings" (37 CFR 1.821(c)), and tables having more than 50 pages of text are permitted to be submitted on compact discs.) or

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REFERENCE TO A "MICROFICHE APPENDIX" (See MPEP § 608.05(a).

"Microfiche Appendices" were accepted by the Office until March 1, 2001.)

(e) BACKGROUND OF THE INVENTION.

(1) Field of the Invention.

(2) Description of Related Art including information disclosed under 37 CFR 1.97 and 1.98.

(f) BRIEF SUMMARY OF THE INVENTION.

(g) BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S).

(h) DETAILED DESCRIPTION OF THE INVENTION.

(i) CLAIM OR CLAIMS (commencing on a separate sheet).

(j) ABSTRACT OF THE DISCLOSURE (commencing on a separate sheet).

(k) SEQUENCE LISTING (See MPEP § 2424 and 37 CFR 1.821-1.825. A "Sequence Listing" is required on paper if the application discloses a nucleotide or amino acid sequence as defined in 37 CFR 1.821(a) and if the required "Sequence Listing" is not submitted as an electronic document on compact disc).

6. The disclosed specification makes reference to claims (see page 1, lines 10-12, for instance). Such reference to claim in the description should be removed.

***Claim Objections***

7. Claim 4 is objected to because of the following informalities: reference number 15 is used as a reference to both a serial/parallel converter and a multiplier. Appropriate correction is required.

***Claim Rejections - 35 USC § 102***

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent

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granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

9. Claim 1 is rejected under 35 U.S.C. 102(e) as being anticipated by Webster et al. (US 6535554 B1).

As to **Claim 1**, Webster et al. shows a receiver (Fig. 6; col. 3, lines 50-54) and a method for compensation of interference (cochannel interference, col. 1, lines 66-67; intersymbol interference, col. 2, lines 12-17) in a signal received through a transmission channel, the method comprising a multiplicity of parameters (output of the channel estimator 22, col. 3, line 62) being calculated from the digitized samples (digital signal provided by the A/D converter 21, col. 3 line 61), the transient process (impulse response) of the transmission channel being calculated (by DFE 28) to an approximation (col. 4, lines 10-17), and the calculated transient process being subtracted from the digitized samples of the signal (truncating the signal stream in the combiner 25, col. 4, lines 19-21).

### ***Claim Rejections – 35 USC § 103***

10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

11. Claims 2-3 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Webster et al. (US 6535554 B1) in view of Younce et al. (US 5521908).

**Claim 2** inherits all the limitations of Claim 1. However, Webster et al. (US 6535554 B1) is silent on each parameter being calculated by subtraction of a pair of digitized samples.

In disclosing a method and apparatus for providing reduced complexity echo cancellation in a multicarrier communication system, however, Younce et al. teaches that in discrete multitone transceivers, each symbol is comprised of  $N$  time samples, plus a cyclic prefix [CP] which is simply the last  $v$  samples of the original  $N$  samples to be transmitted; where, the cyclic prefix length is determined by the length of the impulse response of the channel and is chosen to minimize the intersymbol interference; and, if the impulse response of the channel is of length  $v+1$  or shorter then a cyclic prefix of length  $v$  is sufficient to completely eliminate inter-symbol interference (col. 1, lines 24-37); and, in order to minimize the cyclic prefix and to shorten the impulse response of the effective channel, a shortened impulse response filter (SIRF) is typically inserted immediately after the A/D converter (col. 1, lines 39-42). Further, Younce et al. teaches determining a set of time domain SIRF parameters generally includes determining an approximation of the channel impulse responses and computing SIRF coefficients based upon the approximated channel impulse response (col. 4, lines 5-14), using any known manner (col. 5, lines 5-10) such as [calculating parameters by] comparing [subtracting] a received signal [a digitized sample of a cyclic prefix] with a replica of its known [a digitized sample of the corresponding symbol] transmitted signal.



Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the teachings of Younce et al. into the method of Webster et al. for producing the claimed invention because comparing (CP/symbol) pairs of a DMT symbol easily calculates channel impulse responses samples [parameters] needed by the joint MLSE/DFE stage 26.

**Claim 3** inherits all the limitations of Claim 2. Further, all recited features of the claimed method correspond with subject matter mentioned in the rejection of Claim 2 above, similarly applicable hereto.

As to **Claim 4**, the claimed circuit arrangement for carrying out method for the compensation of interference in a signal generated by discrete multitone modulation correspond with subject matter mentioned in the rejection of claims 1-3 above, similarly applicable hereto. Furthermore, using a serial/parallel converter after the A/D (to buffer samples of CP and the DMT symbol] and providing a multiplicity of subtractor circuits and multiplier [needed in MLSE/DFE stage] circuits would have been obvious requirements for implementation of the method mentioned in the rejection of claims 1-3 above.

#### ***Other prior art cited***

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Kapoor (US 6396886 B1) discloses a DMT time-domain equalizer algorithm.

Djokovic et al. (US 6097763) discloses MMSE equalizers for DMT systems.

Wiese et al. (US 6014412) discloses a method of radio frequency interference canceller.

Dobson et al. (US 6603811 B1) discloses a low complexity frequency domain equalizer.

Ho et al. (US 5317596) discloses method and apparatus for echo cancellation with DMT modulation.

#### ***Contact information***

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jamshid Goshtasbi-G., whose telephone number is (571) 272-3012. The examiner can normally be reached on M-F 8:00/4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jay Patel, can be reached on (571) 272-2988. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Jamshid Goshtasbi-G.


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Examiner

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JEAN B. CORRIELLUS  
PRIMARY EXAMINER

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